

1616

**TRANSMITTAL LETTER**  
(General - Patent Pending)

Docket No.  
3818.01-1



In Re Application Of: **HOFMANN, THOMAS**

Serial No.  
10/056,524

Filing Date  
JANUARY 23, 2002

Examiner  
GOLLAMUDI, S.

Group Art Unit  
1616

Title: **ALKYLARYL POLYETHER ALCOHOL POLYMERS FOR TREATMENT AND PROPHYLAXIS OF SNORING, SLEEP APNEA, SUDDEN INFANT DEATH SYNDROM AND FOR IMPROVEMENT OF NASAL BREATHING**

TO THE COMMISSIONER FOR PATENTS:

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in the above identified application.

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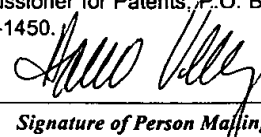
16-1331

  
Signature

Dated: **AUGUST 13, 2003**

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I certify that this document and fee is being deposited on **AUGUST 13, 2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
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**HANA VERNY**

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|   |                                |                       |
|---|--------------------------------|-----------------------|
| FOR PTO-1449 (Mandatory)<br><b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT</b><br><b>SUPPLEMENTAL</b><br>(Use several sheets if necessary) | ATTY. DOCKET NO. 3818.01-1     | SERIAL NO. 10/056,524 |
|   | APPLICANT : HOFMANN, THOMAS    |                       |
|   | FILING DATE : JANUARY 23, 2003 | GROUP 1616            |

| REFERENCE DESIGNATION |   |                 |   |   |   |   |   |   |          | U.S. PATENT DOCUMENTS |       |          |                               |    |
|-----------------------|---|-----------------|---|---|---|---|---|---|----------|-----------------------|-------|----------|-------------------------------|----|
| EXAMINER<br>INITIAL   |   | DOCUMENT NUMBER |   |   |   |   |   |   | DATE     | NAME                  | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |    |
|                       | H | 5               | 8 | 4 | 9 | 2 | 6 | 3 | 12/15/98 | Kennedy, Thomas P.    | 424   | 45       | 4/25/96                       |    |
|                       |   | DOCUMENT NUMBER |   |   |   |   |   |   | DATE     | COUNTRY               | CLASS | SUBCLASS | TRANSLATION                   |    |
|                       |   |                 |   |   |   |   |   |   |          |                       |       |          | YES                           | NO |
|                       |   |                 |   |   |   |   |   |   |          |                       |       |          |                               |    |

| OTHER |  | ART (Including Author, Title, Date, Pertinent Pages, Etc.)  |
|-------|--|---|
| I     |  | T. Van Der Touw, et al., Effects of a Synthetic Lung Surfactant on Pharyngeal Patency in Awake Human Subjects, <u>The American Physiological Society</u> , pp. 78-95, (1997).   |
| J     |  | Hans Schott, Comparing the Surface Chemical Properties and the Effect of Salts on the Cloud Point of a Conventional Nonionic Surfactant, Octoxynol 9 (Triton X-100), and of its Oligomer, Tyloxapol (Triton WR-1339), <u>J. of Colloid and Interface Science</u> , 205:496-502, (1998). |
| K     |  | Stephen B. Hall, et al., Importance of Hydrophobic Apoproteins as Constituents of Clinical Exogenous Surfactants, <u>Am. Rev. Respir. Dis.</u> , 145:24-30, (1992).   |
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|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
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